2011

Tenure Advice for Law Librarians and Their Directors

Carol A. Parker

University of New Mexico School of Law

Follow this and additional works at: https://digitalrepository.unm.edu/law_facultyscholarship

Part of the Law Commons, and the Law Librarianship Commons

Recommended Citation


Available at: https://digitalrepository.unm.edu/law_facultyscholarship/644

This Article is brought to you for free and open access by the School of Law
at UNM Digital Repository. It has been accepted for inclusion in Faculty
Scholarship by an authorized administrator of UNM Digital Repository.
For more information, please contact disc@unm.edu.
Tenure Advice for Law Librarians and Their Directors*

Carol A. Parker**

Professor Parker explores the significant investment of time and effort required of law librarian tenure candidates and their directors and supervisors to bring a tenure track to a successful conclusion. She also describes guidelines and procedures that will facilitate the process. Successful tenure candidates will excel as librarians, master shared-governance concepts, and understand their institution’s culture. Candidates should also engage in self-reflection and seek feedback throughout the tenure process. Supportive directors and supervisors will provide support to candidates and ensure that well-developed promotion and tenure policies exist and are consistently applied.

Introduction

1 This article explores the significant investment of time and effort required of law librarian tenure candidates and their directors and supervisors to bring a tenure track to a successful conclusion.1 It also describes guidelines and procedures

* © Carol A. Parker, 2011. The author thanks R. David Myers, Michelle Rigual, Eileen Cohen, and Carolyn Kelly for their insight and feedback throughout the process of writing this article. The University of New Mexico School of Law Library offers a tenure track for law librarians, and the author supports the concept of tenure and faculty status for nondirector law librarians.

** Professor of Law & Associate Dean for Finance and Administration, University of New Mexico School of Law, Albuquerque, New Mexico.

1. The term “tenure” is used throughout the article to refer to both tenure and other forms of continuous appointment that require similar processes, procedures, and commitments, unless it is necessary to distinguish between the two for purposes of clarity.
that will facilitate the process. Its intended audience is tenure candidates and directors and supervisors who oversee the tenure process. While other articles in the law library literature discuss performance standards used to review librarians for tenure or continuous appointment decisions, few discuss internal best practices that should accompany pursuit of and support for the tenure process itself. In contrast, the question of how best to pursue and support the tenure process is more fully developed in the literature of general academic librarians.

¶2 The American Association of Law Libraries (AALL) and the Association of College and Research Libraries (ACRL) both endorse academic librarians’ having tenured or continuous appointment status. Various surveys indicate that about twenty-five percent of nondirector academic law librarians have an opportunity to achieve tenured status at their institutions. Roughly another forty percent have opportunities to secure some form of continuous appointment. The remaining one-third simply work as at-will employees.

¶3 While these figures indicate that pursuit of tenure or continuous appointment will not be available to all academic law librarians, a significant number will find themselves in such positions or will aspire to attain such positions. It is vital that law librarians who find themselves on a tenure track understand what tenure and faculty status represent, and understand that their employment will end if they do not earn tenured status by the time their probationary period of employment ends.


6. An informal survey of academic law libraries that provide tenure or continuous appointment opportunities for law librarians, conducted by the author in 2009, revealed that 53.8% (28 of 52) of respondents give candidates six years to complete the tenure or continuous appointment process; nine respondents give more than six years, six respondents give five years, six respondents give between one and three years, and three respondents give four years. See infra note 14 for more information on the survey.
Tenured faculty status is regarded as providing a high level of employment security, academic freedom for its recipients, enhanced status within the institution, and often somewhat higher salaries.\textsuperscript{7} Defining tenure is challenging:

Exactly what tenure encompasses . . . proves difficult to define and many misconceptions are associated with it.

Defining tenure is no easier when examined in the context of librarian roles. Tenure is not simply a guarantee of lifetime employment, as is commonly thought. As explicated by the AAUP, tenure instead seeks to guarantee that educators will be afforded academic freedom in their teaching and research pursuits—important components in realizing the common good that education provides. Tenure is also a condition of employment, providing enough economic security to make fulfillment of a faculty member’s obligations to students and society a more attractive proposition.\textsuperscript{8}

Additionally, in order to attain tenure in its highest form of expression—as opposed to other forms of continuous appointment—one must also hold faculty status. Faculty status provides the ability to participate in the shared faculty governance of an institution. Faculty status expands librarian roles, making librarians more aware of, and involved in, the overall educational process, and raises the stature of librarians in the eyes of the teaching faculty. Matthew Simon wrote that faculty status for librarians reflects “administrative recognition of a central educational contribution and implies a partnership with classroom faculty” on the part of librarians.\textsuperscript{9}

In some universities, by obtaining faculty status, academic librarians are able to hold ten-month appointments like teaching faculty, rather than twelve-month appointments. As faculty members, librarians are hired through rigorous processes similar to those undertaken to recruit teaching faculty. Librarians with faculty status participate in campus governance and have comparable criteria for retention, promotion in rank, and tenure.\textsuperscript{10}

Approximately one-quarter of law librarians presently report holding faculty status.\textsuperscript{11}

Given what tenure represents, it should be granted only after a rigorous review process through which candidates demonstrate they are, and will continue to be, excellent librarians, scholars, and often teachers, in addition to being a force


\textsuperscript{8} Parker, supra note 2, at 14–15, ¶¶ 17–18 (footnotes omitted).

\textsuperscript{9} Simon, supra note 3, at 20 (footnote omitted).

\textsuperscript{10} Parker, supra note 2, 12, ¶ 14 (footnotes omitted).

\textsuperscript{11} Huddleston, supra note 5, at 45. See also Katherine E. Malmquist, Academic Law Librarians Today: Survey of Salary and Position Information, 85 LAW LIBR. J. 135, 141, 148 (1993) (respondents to a 1991 survey indicated the number of nondirector law librarians with faculty rank had decreased from earlier surveys to about one-quarter of the respondents).
for good\textsuperscript{12} in carrying out the mission of the library and law school. If all involved excelled at completing and administering tenure-track processes, then pursuit of tenured status would not cause the stress it can when, inevitably, some librarians fail to pass muster. Although no statistics specific to law librarians exist, the great majority of academic librarians who pursue tenure achieve it—around ninety percent, according to one report.\textsuperscript{13} If the same holds true for law librarians, then possibly as many as ten percent of law librarians who aspire to achieve tenured status will not succeed. The price paid by these librarians, and their directors and supervisors, is a high one in terms of stress, disappointment, and career and institutional disruption. Understanding the commitment required by all involved in the process may shed light on why some fail to make the cut and what can be done to improve the odds of success.

\textsuperscript{¶}\textsuperscript{7} To inform this discussion, a review was undertaken of law librarian and general collection librarian literature on the topic of faculty and tenured status for academic librarians. Additionally, an informal survey was conducted in August 2009 [hereinafter 2009 Survey] of academic law library directors whose institutions currently provide tenure or continuous appointment opportunities for non-director law librarians. The survey gathered data on both standards and procedures currently used in tenure decisions in law libraries.\textsuperscript{14}

\textbf{Guidelines for Tenure Candidates}

\textbf{Performance Standards and Workload}

\textsuperscript{¶}\textsuperscript{8} Generally performance standards for tenure include librarianship and service, with various combinations of professional, institutional, and community service in use. Very often, standards also include scholarship and teaching.\textsuperscript{15} Successful tenure candidates should undertake workloads and projects sufficient to demonstrate a high level of competence in all areas that will be used to assess them. To be successful, tenure candidates need to contribute to the creation and implementation of programs and policies within the library, share in library service and


\textsuperscript{14} The 2009 Survey was administered via Survey Monkey (www.surveymonkey.com) during August 2009; participation was solicited via e-mail postings to the Law Library Directors’ listserv and the ALL-SIS listserv. It was deliberately kept brief to encourage participation. Responses from institutions that do not currently provide tenure or continuous appointment opportunities were deleted, as were duplications and a few responses that were started but not completed. Some answers were edited based on explanations and comments provided. For instance, a few respondents checked “other equivalent” rather than “continuous appointment” to describe their systems, but their comments and explanations indicated it would be accurate to count these as forms of continuous appointment. In other instances, references to faculty tenure-track options applicable only to library directors were eliminated in order to report data focusing on nondirector law librarians. All 2009 Survey results are on file with the author. A copy of the survey questions can be found in the appendix to Parker, \textit{supra} note 2, at 37.

\textsuperscript{15} See \textit{id.} at 23, ¶ 39.
administrative responsibilities, begin to think about ideas for publications, possibly prepare to teach a formal legal research class, and manage their time wisely. It should go without saying that a tenure candidate’s overall performance must be excellent if he or she is to earn the privilege of holding tenured status.

§9 The amount of work it can take to achieve tenure can be a surprise to some candidates, but there is no escaping the burden a tenure track imposes.16 Candidates must be prepared to invest the time and effort necessary to excel as a librarian, as well as publish, provide service, and, frequently, teach. As a consequence of committing to numerous projects such as articles to be written, classes to be taught, conference presentations to prepare, and committee service to undertake, deadlines will often conflict and a substantial investment of time will be required in order to meet all of these obligations. Such demands necessitate long hours and a strong commitment to completing the process.

Hitting the Ground Running

§10 When starting a tenure-track position, it can seem as though there is ample time available to complete the process, but in reality, demonstrating excellence in multiple performance areas in only a handful of years can be challenging. The successful tenure candidate will understand that the first year or two can be a critical time period that sets the stage for future success. The highest priority initially for a tenure candidate should be to learn new job responsibilities, which may take months. If a schedule is not provided by the director or supervisor, tenure candidates should create one that includes deadlines for learning all of the different duties that have been assigned.17 A clear sense of what will be expected in order to demonstrate excellence or the potential for excellence in the factors used to assess

16. Because of the work imposed by the tenure process, some librarians are ambivalent about both holding faculty status and the pursuit of tenure. For example, Hersberger reports that librarians generally accept having additional responsibilities in order to obtain tenure. Hersberger, supra note 3, at 361–62. However, others report that some regard these additional responsibilities as too burdensome to be worth the effort. See Huddleston, supra note 5, at 36; Simon, supra note 3, at 21. Representative of explorations of the way in which tenure—which was developed for teaching faculties—can have an adverse impact on librarians by dividing their focus and changing their priorities in the work place are Jerry D. Campbell, An Administrator’s View of the Negative Impact of Tenure on Librarians, 6 TECHNICAL SERVICES Q. 3 (1988); and Joyce A. McCray Pearson, The Director and Law School Librarian’s Role as Educator, in INSIDE THE MINDS: THE LAW SCHOOL LIBRARIAN’S ROLE AS AN EDUCATOR 31, 33–34 (2008). For more discussion of librarians who are reluctant to undertake the extra effort the process requires, see Donovan, supra note 7, at 385–86, and Editorial, Faculty Status: Playing on a Tilted Field, 19 J. ACAD. LIBRARIANSHIP 67 (1993). Others argue that librarianship itself is equivalent to the contribution of teaching faculties; thus, doing a good job as a librarian should be enough. See, e.g., Status of Academic Law Librarians, 73 LAW LIBR. J. 882, 886 (1980) (reporting comments by Kathleen Carrick made during an ALL-SIS panel discussion: “We should not feel we must fit the traditional mold for faculty members. We have different professional responsibilities and commitments.”). But see Donald J. Dunn, The Law Librarian’s Obligation to Publish, 75 LAW LIBR. J. 225 (1972) (arguing law librarians have a professional obligation to publish, even apart from possible mandatory obligations associated with seeking tenure). In fact, the viewpoint that excellent librarianship alone should be enough to earn tenure has not won out, and almost universally, academic law librarians will be required to do more in order to earn tenure. See Parker, supra note 2, at 22–23, ¶ 39.

performance should be acquired as quickly as possible. It is also important to know whether any factors are given more weight than others. For example, many policies give more weight to librarianship than to other factors. If the director or supervisor does not automatically schedule regular meetings to discuss policies and progress, candidates should request them.

Mentors and Networking

\[11\] Successful tenure candidates will quickly start to network and join professional associations. Professional contacts made locally, as well as through national association meetings, will not only provide advice and guidance but may also be potential partners for future presentations at conferences, or informal reviewers with whom to share drafts of scholarship for feedback.

\[12\] Successful tenure candidates will also seek out professional mentors, both formal and informal. Formal mentoring programs and other professional development programs offered by AALL and other professional associations could be helpful. Tenure candidates should also look into institutional service opportunities that are afforded by law school and university faculty committees.

Mastering the Concept of Shared Governance

\[13\] The concept of shared governance figures prominently in any discussion of tenured status for law librarians if they also enjoy faculty status. It is essential for tenure candidates to understand what shared governance represents. Unfortunately, a general lack of knowledge about faculty status and shared governance among law librarians is common. Unlike graduate and doctoral programs in other disciplines, law school and graduate library degree programs provide little opportunity to fully absorb the academic culture of faculty and tenured status, let alone master the concept of shared governance.

\[14\] According to the American Association of University Professors (AAUP), shared governance is “[o]ne of the key tenets of quality higher education” and “refers to governance of higher education institutions in which responsibility is shared by faculty, administrators, and trustees.” Fully implemented, shared governance for law librarians means they can expect to have a say in determining a law library’s mission, values, direction, and programming. They can also expect to

---

18. Id. at 85.
19. See id. at 86–87.
21. Shontz & Bullington, supra note 17, at 87.
22. Ass’n of Univ. Professors, Informal Glossary of AAUP Terms and Abbreviations, http://www.aaup.org/AAUP/about/mission/glossary.htm (last visited Jan. 17, 2011) (“Faculty should have primary responsibility for such fundamental areas as curriculum, subject matter and methods of instruction, research, faculty status, and those aspects of student life which relate to the educational process . . .

23. For example, library directors might invite program review by the library faculty, and work to achieve consensus among the library faculty whenever possible on programming elements. See Hersberger, supra note 3, at 364–65.
participate in policy development for “the hiring, review, retention, and continuing appointment of their peers.”

¶15 Shared governance is both benefit and burden. Realizing a shared governance role in a law library for nondirector law librarians comes at the price of requiring them to share responsibility for the outcome of collective decisions. If any of the parties involved, including the tenure candidates themselves, are unwilling to assume or share responsibility, then arguments for librarians holding faculty status are weakened. Librarians who, whether by choice or because of institutional constraints, do not fulfill their obligations as faculty members and participate in the shared governance of the library may also weaken arguments for holding tenured status. Attempting to hold tenured status without being involved in this aspect of academic library life is inconsistent with the premise of tenure.

¶16 Successful tenure candidates will be aware of the potential for conflict that exists concerning how the concept of shared governance is implemented within a given library. Commentators have noted an awkwardness that comes from superimposing the teaching faculty model of collegial shared governance upon the administrative hierarchy of a library, describing the result as unsettling and causing conflict. Some argue that shared governance is difficult to implement in libraries because library operations are so different from other academic units, and so complex that they require a bureaucracy to deliver resources and services. This complexity results in libraries having hierarchies and layers of middle managers that other academic departments do not have.

¶17 However, other academic units can be as large or larger than libraries and arguably just as complex to administer. If shared governance is awkward in a library setting, and one theorizes that the difficulties in implementation do not necessarily come from organizational complexity, then the origin of any awkwardness must lie elsewhere. Awkwardness in implementing shared governance in libraries instead might come from individuals who are unable to regard librarians—who provide services—as anything other than support staff. The more library directors, supervisors, or law faculties persist in regarding law librarians as support staff rather than

24. Ass’n of Coll. & Research Libraries, Guidelines for Academic Status for College and University Librarians (approved Jan. 23, 2007), http://www.ala.org/ala/mgrps/divs/acrl/standards/guidelinesacademic.cfm (“[L]ibrarians should also participate in the development of the institution’s mission, curriculum, and governance. Librarians should participate in the development of policies and procedures for their library including the hiring, review, retention, and continuing appointment of their peers.”).

25. Tenure is not simply a guarantee of lifetime employment, although that is what it has come to mean for many who achieve it. “Faculty tenure in higher education is, in its essence, a presumption of competence and continuing service that can be overcome only if specified conditions are met.” Donna R. Euben, Tenure: Perspectives and Challenges (Oct. 2002), http://www.aaup.org/AAUP/programs/legal/topics/tenure-perspectives.htm. A faculty member must give something, and continue to give something, on an ongoing basis, in return for receiving tenure. Am. Ass’n of Univ. Professors (AAUP), 1940 Statement of Principles on Academic Freedom and Tenure, in Policy Documents and Reports 3 (10th ed. 2006). See also Richard A. Danner & Barbara Bintliff, Academic Freedom Issues for Academic Librarians, Legal Reference Services Q., no. 4, 2007, at 13, 17.


27. See id.

as equals of teaching faculties, the greater the conflict is likely to be when law librarians demand to participate in the governance of the law library.

¶18 Difficulties in implementing shared governance in a library setting might also reflect another significant difference between library faculties and teaching faculties. Librarians, by the nature of their work, are much more deeply involved in administrative aspects of the organization than are members of teaching faculties. Members of teaching faculties often can operate as independent actors, more or less loosely aligned with each other or with the administration, depending upon the issues. In other words, their contributions to shared governance rarely go beyond curriculum development and hiring, retention, promotion, and tenure decisions. For librarians, in contrast, participation in shared governance must, by its very nature, reflect participation in library administration at least to the extent it involves creation and implementation of policies and service goals. If librarians disagree with the direction these goals and policies should take, they cannot retreat to the classroom or to research and scholarship. Librarians must continue to be involved in delivering services and implementing policies. In some ways, it can be harder to be asked for input if one’s ideas are not ultimately implemented than it is to never be asked in the first place. Successful tenure candidates will not take such an outcome as a personal rejection, but will recognize it for what it is—a legitimate difference of opinion on how best to proceed.

¶19 Successful tenure candidates will also understand that shared governance in an administrative context does not mean that a library director cedes all of his or her administrative authority and responsibility to the rest of the library faculty. Rather, shared governance means librarians who hold faculty status should expect to be consulted about important administrative decisions and be active participants in the decision-making process. After a thorough, inclusive, and transparent decision-making process, the director, as chief administrative officer of the library, must then exercise the responsibility vested in him- or herself for deciding future courses of action consistent with the best interests of the library. This may or may not result in the director’s deciding to delegate some decision-making ability to some or all of the library faculty members. Ultimately, with respect to shared governance, it is worth noting again the importance of understanding local institutional culture.

Mission, Values, Collegiality, and Becoming a Team Player

¶20 Successful tenure candidates will seek out mentors, both formal and informal, to help them learn about the institutional culture of their libraries. The mission and values of the law library are, of course, heavily influenced by the mission and values of the parent law school as well as the political realities at each institution. Library missions are often articulated and recorded, but values statements are less commonly written. Philip Howze distinguished mission from values, stating: “Value statements articulate what the members of the organization believe.

29. Michelle Rigual, Teaching a New Dog the Same Old Trick, in INSIDE THE MINDS, supra note 16, at 7, 8.
‘Why we are here’ is not the same as ‘what we believe.”30 He gives examples of values such as candor, cooperation, respect, fairness, inclusiveness, and sharing.31 Ideally, all law librarians, if asked, could articulate a clear understanding of their institution’s values. If not, or if there is disagreement about what these should be, there is likely to be conflict.

¶21 These value-laden considerations often underlie the concept of collegiality, which is perhaps the least understood aspect of the tenure process. Collegiality is defined as “the sharing of authority among colleagues.”32 It is fairly common for tenure polices of teaching faculties to explicitly reference collegiality as a factor in tenure reviews. The concept appears less often in law librarian tenure policies, but it is likely to be implicit—even if not explicitly stated.33 Given the strong association between collegiality and shared governance, it is perhaps understandable that collegiality does not appear to be widely used in librarian tenure policies, especially if those policies do not emphasize shared governance.

¶22 Performance factors such as scholarship, teaching, and librarianship are much more easily assessed than is collegiality. One author has likened recognizing a lack of collegiality to recognizing pornography: “Collegiality is an amorphous criterion, often defined in terms of a Supreme Court pornography test, in which perception is reality. The absence of collegiality, however, is quickly known and readily described when the purpose is to deny tenure.”34 It is not uncommon to see allegations that tenure candidates lack collegiality forming the basis for retention, promotion, or tenure denials. Often, charging that a tenure candidate lacks collegiality is perceived by the candidate as discrimination under another guise, and lawsuits ensue.35

¶23 The successful tenure candidate will understand that, in a truly collegial environment, the focus is less on the promotion of self-interest and more on the promotion of the mission of the institution. One author described the consideration of collegiality during the librarian tenure process as asking “whether the librarian has been a distinct force for good in carrying out the mission of the

30. Howze, supra note 12, at 41.
31. Id. at 41–42.
32. Id. at 40 (quoting WEBSTER’S NEW WORLD DICTIONARY (1989)).
33. Searching the web for the terms such as “faculty handbook” and “collegiality” reveals numerous references to collegiality requirements in university policy documents. The AAUP, however, discourages the use of collegiality as a criterion for tenure evaluation. On Collegiality as a Criterion for Faculty Evaluation, Am. Ass’n Univ. Professors (Nov. 1999), http://www.aaup.org/AAUP/pubsres/policydocs/contents/collegiality.htm. In the 2009 Survey, only one library—under an “other factors” category—indicated that collegiality is explicitly mentioned in its librarian promotion and tenure policy.
34. Howze, supra note 12, at 40.
35. “Collegiality can be a code word for favoring candidates with backgrounds, interests, and political and social perspectives similar to one’s own.” Id. (quoting Jonathan R. Alger, How to Recruit and Promote Minority Faculty: Start by Playing Fair, 17 BLACK ISSUES HIGHER EDUC. 160, 160 (2000)). The AAUP cautions that collegiality requirements should not serve to inhibit dissent or produce excessive deference to administrative or faculty decisions. To do otherwise would be inconsistent with tenure’s stated purpose, which is to protect faculty from being punished for expressing controversial or unpopular views. On Collegiality as a Criterion for Faculty Evaluation, supra note 33.
library.” 36 Franklin Silverman, in a recent book on the topic of the importance of collegiality for tenure candidates, states:

While a reputation as a team player is unlikely to compensate for a weak teaching or publication record . . . , not having one can nullify an adequate, but marginal, publication and teaching record . . . . In fact, a lack of collegiality that’s regarded as being substantial can nullify even a relatively strong teaching and publication record. 37

¶24 Collegiality, however, has often come to mean something more than promoting the best interests of the library. The term has, in fact, come to be equated with congeniality—embODYING an ability to get along with one’s colleagues—rather than as a reflection of shared governance in action. 38 Consequently, successful tenure candidates will understand that they should also demonstrate congeniality, interpersonal skills, and emotional intelligence. 39 While being congenial technically has nothing to do with the concept of collegiality, it clearly is a distinct advantage if a tenure candidate is able to get along with others at the library.

¶25 If librarian roles were more like those of teaching faculties who are engaged primarily in teaching and research, then perhaps good interpersonal skills might be less critical to the success of a library’s mission. Within a highly collaborative law library environment, however, where performing well often entails being able to trust and depend on one’s fellow librarians in a closely cooperative setting, a person who causes rancor and disharmony can be devastating to morale and often interferes with work getting done. 40 Notably, good communication and interpersonal skills underpin several of the core competencies for law librarians that have been recognized by AALL. 41

¶26 Silverman provides a four-page chart of behaviors for tenure candidates to avoid. 42 One can discern the need for congeniality in Silverman’s advice, even though it is offered in the context of promoting collegiality. To paraphrase Silverman, the successful tenure candidate will not

- Avoid doing his or her fair share;
- Invest as little time and energy as possible in committee work, or avoid it altogether;
- Be disrespectful toward others in the library, particularly senior faculty;
- Be a chronic complainer;
- Become enmeshed in politics, or align themselves with particular factions;
- Demand more than his or her fair share of resources;

36. Howze, supra note 12, at 42.
38. See id. at 7–8; Howze, supra note 12, at 40, 43.
39. For a discussion of the importance of emotional intelligence in the workplace, see Phillip Gragg, From Theory to Practice: Operation Emotional Intelligence, 27 LEGAL REFERENCE SERVICES Q. 241 (2008).
40. See Barbara Bintliff, The Roles and Status of the Academic Law Library Director, in INSIDE THE MINDS, supra note 16, at 121, 123 (describing the need to hire librarians and staff with well-developed communication and interpersonal skills).
42. SILVERMAN, supra note 37, at 3–6.
• Spend a significant amount of time gossiping;
• Use “I want” rather than “I’d appreciate it if”;
• Fail to establish a reputation as being dependable;
• Be a “pain in the ass” to have around;
• Fail to conduct him- or herself in a professional manner when it is important to do so;
• Be culturally insensitive;
• Excessively promote him- or herself or ignore the professional accomplishments of others;
• Demand concessions, policy exceptions, and special favors;
• Resist mentoring junior faculty;
• Rarely be willing to compromise or negotiate; or
• Proselytize for religious, moral, ethical, and political beliefs.43

¶27 Being a good citizen and working toward the good of the library and law school also means that library faculty members have an obligation to attend a variety of events and functions. For example, if the law school offers colloquia for faculty to present their scholarship, candidates should try to attend and hopefully also participate. Likewise, if the library or law school offers lectures or similar events for students or the public, candidates need to put in an appearance. If law faculty or fellow librarians are honored for their work, or library and law school donors are honored for their support, candidates should plan to attend. This goes beyond political expediency. Although politically it could be imprudent to consistently fail to attend such events because absences will be noticed and possibly held against candidates, there is more to it than that. Librarians are obligated to attend and support those who present at such events because it is a way to honor the work and contribution of one’s colleagues, regardless of whether one is on a tenure track. Successful tenure candidates will understand that this is the hallmark of being a professional, and is an obligation that does not diminish with time or once tenured status has been attained.

Documenting Accomplishments

¶28 Successful tenure candidates will regularly engage in self-reflection and personally assess their progress. This reflection and assessment process should include documentation of accomplishments. Most institutions require an annual self-evaluation from tenure-track librarians, which helps candidates become accustomed to the amount of documentation that is needed to demonstrate one is worthy of retention, promotion, and ultimately tenure. Thorough annual reviews of accomplishments can later be used to assemble dossiers or portfolios for retention, promotion, and tenure reviews. Thus the more effort put into annual reviews, the greater the payoff when preparing dossiers for mid-probationary and final reviews. The successful tenure candidate will find a means for keeping track of accomplishments as they occur so the task of reporting them annually does not become overwhelming, and significant accomplishments are not forgotten.

43. Id.
¶29 Candidates for tenure should also be aware that a significant amount of work is required of reviewing committee members, senior faculty, supervisors, and library directors who must evaluate candidates for retention, promotion, and tenure. A reviewer wants easy access to two types of information: the specific criteria for promotion, retention, and tenure at this institution, and evidence of the candidate’s relevant accomplishments, so that the reviewer can easily and quickly determine if the candidate meets the criteria. Reviewers’ tasks include creating documentation that can withstand scrutiny by subsequent reviewers such as law school deans, provosts, and university faculty tenure review committees.

¶30 When assembling material for a review, candidates should make a conscious effort to organize and deliver the material in a way that will make it easier for reviewers to write their reports. For example, if the library utilizes a specific format for reporting relevant criteria, be mindful of the preferred format when organizing material. Candidates may be tempted to demonstrate creativity or invent a “better” way of delivering the material than has been used in the past. By doing this, however, they may unwittingly make the reviewers’ work more difficult if needed information is buried in a mass of text or must be accessed through a novel organizational approach. Worse still is failing to directly address relevant performance review criteria in the material submitted. Reviewing committee members may not be generous enough to ask for additional information or give candidates an opportunity to clarify or supplement what was initially provided.

Guidelines for Directors and Supervisors

Role of the Library Director

¶31 In a library offering a tenure track, the library director plays a role similar to that of a department chair or a dean, overseeing all procedural requirements of the process. Supportive directors and supervisors will ensure that tenure-track librarians have the time and resources necessary for them to succeed in the areas in which they will be judged. Library directors and supervisors must provide regular feedback about performance and progress being made toward tenure. They also need to document performance as required by the institution’s policies in addition to providing formal reviews mandated by library, law school, or university policies. Meeting these obligations requires a great deal of commitment and support on the part of senior librarians, supervisors, and directors—all of whom must also serve as good role models.44

¶32 Library directors should also encourage nondirector librarians to participate in library governance. To facilitate this, supportive directors will ensure that library goals, both short-term and long-term, are written down and updated regularly. These goals are often published in annual reports and accreditation reports; copies of these should be readily available to all librarians. Understanding library goals and objectives encourages tenure candidates to accept an expanded role in achieving them.45 Sharing information will also facilitate strategic planning.

44. See Simon, supra note 3, at 26.
45. Id. at 22–23.
In-house research projects undertaken by librarians to support planning will provide them with greater insight into the choices and decisions that need to be made to move the library forward.\[46\]

**Providing Adequate Mentoring, Support, and Resources**

\[33\] Supportive directors and supervisors will help tenure candidates identify informal mentors who can help advise them. These informal mentors should not be direct supervisors or involved in any formal review of candidates, in order to avoid creating a perceived or actual conflict of interest.

\[34\] Many law librarian promotion, retention, and tenure policies require candidates to publish scholarly literature and to provide service to the profession.\[47\] To ensure that tenure-track librarians can take advantage of professional development, research, and scholarship opportunities, supportive directors and supervisors will provide tenure candidates with time away from day-to-day service and administrative duties.\[48\] Developing a system where librarians work together to cover for each other during such periods will provide support for professional development, research, and scholarship.\[49\] For example, someone might get relief from reference or faculty support duties for a month or more in order to prepare and teach a new class. Ideally, librarians, from time to time, can also be scheduled for time away from most of their day-to-day responsibilities in order to pursue research and scholarship, or to travel for conferences and meetings. The day-to-day duties of an individual who receives such administrative relief could be covered in the same way they would be if someone took vacation or sick leave. During such periods of time away from administrative duties, librarians can research and write, free of the interruptions that come with reference, faculty support, and teaching.\[50\] A committee might help the director manage administrative relief opportunities, or help to develop an in-house professional development program.\[51\]

\[35\] It is worth noting that the higher the degree of specialization of librarians within a given institution, the more difficult it might be to implement a program

\[46\] Id. at 23.
\[47\] Parker, supra note 2, at 22–23, ¶ 39.
\[48\] The AALL resolution in support of faculty status and tenure for librarians states “they should have proportional entitlement to promotion, compensation, leaves, and travel funds” to support them in “a program leading to tenure or a form of security of position reasonably similar to tenure.” AALL Resolution on Faculty or Academic Status, supra note 4, at 831.
\[49\] Simon, supra note 3, at 23; see also Daniel F. Ring, Professional Development Leave as a Stepping Stone to Faculty Status, 4 J. Academic Librarianship 19 (1978).
\[50\] For example, at the University of New Mexico Law Library, members of the law library faculty may be relieved of administrative duties for up to eight weeks every three years to provide time for scholarly pursuits. Some librarians use this time incrementally, while others use it in larger blocks of time. Larger blocks of time require plenty of advance notice so that time away from other teaching, administrative, and service duties can be covered. This is not regarded as time off from work; instead, it is regarded as a temporary shifting of administrative and service responsibilities to accommodate the writing, research, and teaching that librarians are expected to provide, given their faculty status. Taking advantage of this opportunity is dependent upon the pursuit of projects that have been proposed well in advance, and sanctioned by the law library director, as part of the annual review and goal-setting process.
\[51\] Simon, supra note 3, at 24.
of administrative release time. If some librarians are exempt from contributing to certain roles in favor of others, for example, cataloging, faculty research support, electronic services, or collection development, it might be difficult to cover such work during periods of professional leave if no one else can provide these specialized services. Also, at some institutions, staffing and mission constraints may simply be too limiting of librarian roles to warrant a system of professional leave to support scholarship and teaching. This may well mean that if a particular library is unable to provide the proper support for tenure-track librarians, then a tenure option is not an ideal that should be pursued at that institution.52

¶36 Directors and supervisors can also encourage internal writing groups and colloquia modeled upon those supported by teaching faculties. Alternatively, law librarians can present at law faculty or university faculty colloquia. With respect to scholarship, directors, supervisors, and senior tenured librarians should also commit the time and effort needed to evaluate drafts and provide feedback throughout the process. It is easy to underestimate the time a particular project might require. Tenure-track librarians should not be allowed to set themselves up to fail by taking on more than can reasonably be accomplished.53

¶37 Supportive directors and supervisors will encourage tenure candidates who hold faculty status to seek out small university grants that are often available to faculty to cover expenses associated with research projects. Such grants can be used to cover interlibrary loan and copying expenses, or travel associated with working in other libraries or special collections.54 Law librarians might benefit from the support of a research assistant as well; there is no reason for library directors and supervisors to regard this resource as something that is only available to the law teaching faculty.

¶38 Some law librarian promotion and tenure policies require candidates to teach, either formally or informally.55 In those cases, mentoring and development of tenure candidates as teachers is essential. Candidates who hold faculty status and are affiliated with a university can be encouraged to take advantage of workshops and other professional development opportunities geared toward mastering theories of learning, developing curriculum, and creating assessment tools—all aimed at developing more effective teaching skills. Student course evaluations should

52. Possibly self-selection away from tenure has already occurred among the law school libraries that do not currently offer a tenure option. The number of academic law libraries providing a tenure option has hovered at one-quarter to one-third of total survey respondents for many decades. A survey by the law librarians at Texas Tech University demonstrated that the likelihood of providing a tenure option increases when a law school library is affiliated with a university and can presumably draw upon university resources and norms. See Blackburn et al., supra note 2, at 137, ¶ 25. Presumably, the three-fourths of ARL-affiliated university libraries that offer tenure have more resources to support tenure-track librarians than would much smaller law libraries, especially those not affiliated with a university. For a different view, however, see Status of Academic Law Librarians, supra note 16, at 904 (blaming inertia, lack of respect by law faculties, and ignorance as much as anything else for the inability of certain law libraries to provide tenure options to their law librarians).


54. For example, the University of New Mexico offers small grants to faculty members for this purpose, and library faculty are eligible to apply. See Research Allocation Committee (RAC) Grants, Univ. of N.M., http://research.unm.edu/rac/index.cfm (last visited Feb. 20, 2011).

55. Parker, supra note 2, at 30, ¶ 67–69.
always be obtained when librarians teach formal classes. Supportive directors and supervisors will regularly visit classes taught by tenure candidates to provide feedback on ways to improve teaching skills, in addition to making a record of a candidate’s progress toward development as an effective teacher. If class visits are burdensome, candidates can arrange to have several classes recorded for later review.

¶39 The supportive director or supervisor will promote the service, teaching, and scholarly contributions of tenure candidates in school and campus newsletters and publications, as well as through e-mail and web page announcements. This publicity helps ensure that the work of the librarians and their contributions to the educational mission of the school are recognized. To raise the profile of law librarian scholarship, publications should be deposited into online repositories such as SSRN, bepress, and local institutional repositories.

¶40 Supportive library directors will also provide institutional financial support for tenure candidates to travel to national and regional conferences for continuing education and professional service opportunities. If travel must be restricted due to revenue constraints, a schedule can be developed anticipating that committee service often requires conference attendance in subsequent years. Tenure candidates can feel comfortable volunteering for service in one year if they know they can return the following year. In exchange, they may then need to wait a year or two before traveling again. Ideally, the law library will also cover the cost of membership in various professional associations such as AALL, AALS, and ABA to facilitate pursuit of professional development opportunities and mentoring programs. Community service and law school and university committee service should be encouraged and supported.

¶41 At a minimum, tenure candidates should be able to expect administrative support from directors and supervisors for all of a candidate’s efforts related to professional development, teaching, research, scholarship, and service. Examples of such institutional support would include photocopying; library computer use; installation of specialized software applications; access to computer networks and related services such as e-mail and server storage space, access to licensed databases, postage, access to telephone and fax services, etc. If possible, candidates will also be provided with clerical support.

Policy Documentation

¶42 Supportive directors will ensure that when a tenure track is available to law librarians, written policy documents also exist, fully describing all retention, promotion, and tenure evaluation criteria. Policies must document the performance

56. Simon, supra note 3, at 23.
57. Law librarians enjoy numerous nontraditional ways to promote their scholarship to national and international audiences through the use of commercial online repositories such as the Legal Information & Technology eJournal on SSRN (http://papers.ssrn.com/sol3/IELJOUR_Results.cfm?form_name=journalBrowse&journal_id=1334262) and the bepress Legal Repository (http://law.bepress.com/repository/), as well as institutional online repositories such as the NELLCO Legal Scholarship Repository (http://lsr.nellco.org).
58. Simon, supra note 3, at 25.
standards that will be used to determine who is qualified to be a tenured librarian, as well as who will review and assess performance, how often reviews will be undertaken, and who may vote as to whether a candidate is retained, promoted, or receives tenure.\footnote{59}{What those procedures should be is outside the scope of this article. The general academic library literature is a rich source of recommendations. See, e.g., S. Nazim Ali et al., \textit{Determining the Quality of Publications and Research for Tenure or Promotion Decisions: A Preliminary Checklist to Assist}, 45 \textit{Libr. Rev.} 39 (1996).}

\textsection{43} Whether a candidate is retained, promoted, or receives tenure is essentially a determination of whether the candidate has met requirements spelled out in the policy document. In its simplest form, the analysis can resemble the process of legal analysis—the rules described in the tenure policy documentation are applied to the facts of the candidate’s accomplishments as shown by the material and information available for review. The candidate’s accomplishments either meet the standards described in the policy, or they do not. Policies explicated in the documentation not only determine whether someone should be promoted, retained, or receive tenure, but also make it possible to determine whether the decision-making process was based on the facts and appropriate evaluation criteria, and whether the reviewers applied the correct standards during the performance review.

\textsection{44} Tenure policies often require multiple levels of candidate assessment beyond peer review within the law library. Outside reviewers are common.\footnote{60}{The Association of Research Libraries (ARL) provides extensive guidance for developing and implementing external review procedures. \textsc{Tracy Bicknell-Holmes} \& \textsc{Kay Logan-Peters}, \textit{SPEC Kit 293: External Review for Promotion and Tenure} (2006), \textit{available at} http://www.arl.org/bm~doc/spec293web.pdf.}

So is subsequent review of recommendations by law school deans. Review of recommendations typically also extends to the university level, often with review by either the Provost’s Office or a university faculty tenure review committee or both.

\textsection{45} All parties involved in the process should be completely familiar with any law school or university-wide faculty policies and handbooks that govern the process or potentially even preempt inconsistent internal policies. Typically, these are sources of the rights and responsibilities of all parties involved in tenure processes, including rights and responsibilities related to annual reviews, and appeals of promotion, retention, and tenure denials.

\textbf{Providing Systematic and Regular Reviews}

\textsection{46} It is essential for a supportive library director to ensure that equitable and appropriate procedures are in place for regularly assessing tenure candidates and ensuring the integrity of the assessment process.\footnote{61}{While the role of performance reviews is rarely explored in the law librarian literature, guidance is widely available from the literature of management theory. \textit{But see} Jean M. Holcomb, \textit{The Annual Performance Evaluation: Necessary Evil or Golden Opportunity?}, 98 \textit{Law Libr. J.} 569, 2006 \textit{Law Libr. J.} 33.}

Regular meetings with tenure candidates to check on their progress and to provide feedback, both positive and negative, must be scheduled.\footnote{62}{\textsc{Simon}, \textit{supra} note 3, at 26.} Suggestions for improvement can be given orally during these meetings. Criticism and suggestions for improvement should never be
conveyed for the first time in a written report. If something in an annual written report is a surprise to a tenure candidate, it means the library director or supervisor failed to provide consistent feedback and guidance when the problem was first noted.63

74 An AALL Special Committee to Develop Performance Measurements for Law Librarians has produced measurement tools to assist with meaningful and relevant evaluation of librarians in a variety of library settings. The measurement tools reflect AALL’s Competencies of Law Librarianship and are adaptable to the progression of librarians from inexperienced beginners to experienced veterans, thus remaining highly relevant over time.64 These measurement tools are relevant to evaluating the “librarianship” or job performance component typically included in law librarian tenure policies, and a supportive library director might encourage library faculties to consider adopting the AALL Competencies as a means for assessing librarianship job performance.

76 Some tenure performance standards are more difficult to quantify than others. For example, a typical standard for tenure candidates to meet is “continuing excellence in the future.” Evidence that a librarian will continue to be an excellent performer in the future includes whether the candidate is open to change and to trying new things. The more a candidate shows a reluctance to try new things and tends to automatically say “no” in the face of new proposals while still a candidate for tenure, the greater the potential that as their career matures, they will be less likely to embrace change, be motivated to keep their skills fresh, or be willing to adapt to new paradigms. Library directors and supervisors should be mindful of such behavior patterns and counsel tenure candidates accordingly.

Performance Problems and Tenure Denials

77 Library directors and supervisors must be prepared to address performance problems that arise during a tenure track and ensure that tenure candidates understand that if performance standards are not met, their employment contract will not be renewed. It is worth noting that without the time pressures associated with a tenure track, it might be possible to give underperformers more time to improve. The deadlines associated with a tenure track can preclude that option.

78 Tenure systems inject an element of peer review and judging that may be absent in libraries where librarians are simply regarded as employees and have no shared governance role. Supportive library directors will ensure that library faculty members understand that their responsibility in a tenure system includes having to review peers and contributing to the management of the library, possibly to a much greater extent than if they were simply at-will employees. If a tenure-track librarian is underperforming, knowing that those problems are going to have to be addressed

63. The need for regular performance reviews exists whether a librarian is on a tenure track or not, and addressing performance problems in tenure-track situations is largely the same as that involved in managing any direct-report librarian.

can add an element of significant stress for all members of the library faculty, not just the tenure candidate, supervisors, and directors. A supportive library director will understand this potential and be prepared to counteract it.

¶51 Despite often enormous investments of time and resources on the parts of both the tenure candidate and library administrators, there are instances when an award of tenure status is inappropriate. In these instances, the responsibility for protecting the library’s interests as an institution must ultimately rest on the director. In serving the role in the tenure process similar to that of department chair or dean, it is ultimately the decision of the director to not renew a tenure-track librarian’s contract, independent of any recommendation or vote of the faculty as a whole. Hopefully, librarian roles can be structured in such a way that the interests of the tenure candidate, as well as the other people who comprise the organization, and the interests of the organization itself, can all be accommodated. Sometimes, however, that delicate balance cannot be achieved, and it becomes clear that one interest must be favored over another. The role of the library director in these situations is to ensure that the organization itself is not harmed by disproportionately favoring the interests of an underperforming individual over those of the organization.

¶52 If a librarian is not recommended for promotion, retention, or tenure, an often extensive appeal process is likely to be available to the librarian. The levels of review of the decision not to renew a librarian can include law school deans, university provosts, academic senate committees, and even presidents and governing boards.65

¶53 Additionally, the procedures that were followed by the director and supervisor, and the documentation that was developed by them throughout the process, are subject to review. In other words, the level of scrutiny that comes with tenure-track reviews cuts both ways: not only will the candidate’s performance receive close scrutiny, but so will the director’s and supervisors’ performance with respect to how they addressed and documented candidate performance problems. The process of addressing performance problems in tenure-track situations is largely the same as what is involved in managing any direct-report employee, that is, documentation is essential. The existence of heightened scrutiny is especially prevalent when librarians enjoy faculty status recognized by a university system. It is essential that both managers and tenure-track librarians fully understand the rights and responsibilities that are expected of them in university tenure systems.

65. The 2009 Survey showed that 43.2% (19 of 44) of respondents offered appeals to a university provost, another twelve respondents offered appeals to the law school dean, twelve offered appeals to a university faculty senate committee, nine offered appeals to the university president, and five offered appeals to a board of trustees or regents. A number of survey respondents selected “other” as an answer; comments indicated that twelve respondents chose “other” either because they did not know about the appeals process at their schools or their policy documents did not specify one.
Conclusion

54 The challenges presented by pursuing and managing tenure within an academic law library setting are numerous and important. Providing tenure opportunities for nondirector law librarians can be a costly endeavor—costly in terms of time on the part of both librarians and managers, and costly in terms of the effort it takes to create and sustain support systems and review processes. If a law library elects to provide a tenure track, all involved must be prepared to accept the level of responsibility that goes with it and be prepared occasionally to make hard choices. A full understanding of the work involved on the part of tenure candidates, adequate support from directors and supervisors, and equitable policies and procedures applied consistently and fairly can make the difference between tenure candidates’ success and failure.