



HLC Accreditation Evidence Document

Title: Self-Study Requests

Office of Origin: Office of the Provost

Description: This is a collection of emails to the Faculty Senate, Staff Council, and Board of Regents, the three main governing bodies of the university, requesting a series of self-studies on the nature and autonomy of these governing bodies.

Date: 2018

FW: Staff Council self-study on shared Governance for HLC Evidence

Associate Provost for Curriculum and Assessment

Tue 1/23/2018 9:35 AM

To: Joseph Suilmann <suilmann@unm.edu>;

CoG request.

From: Associate Provost for Curriculum and Assessment <apcurriculum@unm.edu>

Date: Monday, January 22, 2018 at 10:08 PM

To: SC President <scpresident@unm.edu>

Cc: Senior Vice Provost <svp@unm.edu>

Subject: Staff Council self-study on shared Governance for HLC Evidence

Dear Ms. Callan,

Members of Staff Council may have noted the request made at ASAR on January 11, 2018 to the Board of Regents for a short self-study to include in the evidence room for Higher Learning Commission review during UNM's reaccreditation. On behalf of UNM's HLC reaccreditation Steering Committee, I would like to invite Staff Council to contribute its own short self-study on the Council's achievements and goals with respect to shared governance as well as on the status of shared governance at UNM. I am also making this invitation to the Committee on Governance and a similar invitation to the Faculty Senate via its leadership. While the Steering Committee is developing an overall assurance argument, including the assurance argument for Criterion 2, the Faculty Senate's account of its role in shared governance and of the status of shared governance at UNM will enhance the information available to the HLC review committee. I very much hope that you will accept the HLC Steering Committee's invitation to make this contribution.

As you determine the shape of your self-study, it may be useful to consider the invitation presented to the BoR, below, which cites the HLC Criterion 2 Core Components:

We respectfully request consideration of 2.A and 2.C 1-4 in a short self-study (5-8 pages) to be prepared by the Board of Regents in Spring 2018 for inclusion in UNM's evidence room of materials for the Higher Learning Commission reaccreditation. UNM's assurance argument will link this self-study as a piece of evidence. Our HLC officer, Mary Vanis believed that this would be a useful supporting document.

Higher Learning Commission Criterion 2
Core Components

<https://www.hlcommission.org/Policies/criteria-and-core-components.html>

2.A. The institution operates with integrity in its financial, academic, personnel, and auxiliary functions; it establishes and follows policies and processes for fair and ethical behavior on the part of its governing board [...].

2.C. The governing board of the institution is sufficiently autonomous to make decisions in the best interest of the institution and to assure its integrity.

1. The governing board's deliberations reflect priorities to preserve and enhance the institution.
2. The governing board reviews and considers the reasonable and relevant interests of the institution's internal and external constituencies during its decision-making deliberations.
3. The governing board preserves its independence from undue influence on the part of donors, elected officials, ownership interests, or other external parties when such influence would not be in the best interest of the institution.
4. The governing board delegates day-to-day management of the institution to the administration and expects the faculty to oversee academic matters.

6/13/2018

FW: Staff Council self-study on shared Governance for HLC... - Joseph Suilmann

Thank you very much for considering this invitation. I hope that the Staff Council will be able to generate a self-study and would be delighted to discuss Criterion 2 and this invitation if that would be useful. Please do let me know if the Staff Council chooses to take this on. We would be interested in receiving the short self-study in March, if at all possible.

Best wishes,

Pamela

Dr. Pamela Cheek
Interim Associate Provost for Curriculum and Assessment
Office of the Provost

To:

From: Dr. Richard Wood, Senior Vice Provost

Dr. Pamela Cheek, Interim Associate Provost for Curriculum and Assessment

Date: March 12, 2018

Re: Request to the BoR for a Self-Study in Support of Criterion 2 in the Higher Learning Commission Reaccreditation Report

On _____, Senior Vice Provost Wood requested that the Board of Regents consider writing a self-study as documentation for the “evidence room” in support of the Higher Learning Commission assurance argument. That request was as follows:

We respectfully request consideration of 2.A and 2.C 1-4 in a short self-study (5-8 pages) to be prepared by the Board of Regents in Spring 2018 for inclusion in UNM’s evidence room of materials for the Higher Learning Commission reaccreditation. UNM’s assurance argument will link this self-study as a piece of evidence. Our HLC officer, Mary Vanis believed that this would be a useful supporting document.

For your reference, these are the governance criteria that directly concern the Board of Regents and that your self-study presents the opportunity to address:

Higher Learning Commission Criterion 2

Core Components

<https://www.hlcommission.org/Policies/criteria-and-core-components.html>

2.A. The institution operates with integrity in its financial, academic, personnel, and auxiliary functions; it establishes and follows policies and processes for fair and ethical behavior on the part of its governing board [...].

2.C. The governing board of the institution is sufficiently autonomous to make decisions in the best interest of the institution and to assure its integrity.

1. The governing board’s deliberations reflect priorities to preserve and enhance the institution.
2. The governing board reviews and considers the reasonable and relevant interests of the institution’s internal and external constituencies during its decision-making deliberations.
3. The governing board preserves its independence from undue influence on the part of donors, elected officials, ownership interests, or other external parties when such influence would not be in the best interest of the institution.
4. The governing board delegates day-to-day management of the institution to the administration and expects the faculty to oversee academic matters.

In response to this request, the Board of Regents may wish to submit a single self-study, a set of studies of the BoR authored by each Regent, or sets of studies authored by sub-groups of Regents. The self-study or self-studies will be placed in the section of the UNM digital repository devoted to the Higher Learning Commission Assurance Argument and a link to the self-study(ies) will be inserted into the Assurance Argument presented to the HLC.

The HLC may be especially interested in determining how governance at UNM in 2018-19 compares with governance at the time of the previous HLC accreditation visit (2009) and at the time of the interim report (2011). To best address this, Regents may wish to consult the previous reports at <http://hlc2019.unm.edu/previous-reports.html>

The set of questions below may serve as guidelines:

1. How does the BoR view its role in university governance? How does it define its role with respect to ensuring UNM's academic and financial integrity?
2. What major accomplishments in recent years provide evidence of the ways that policies and processes for fair and ethical behavior have been followed by the BoR? What policies and processes does the BoR rely on regularly in decision-making and governance?
3. Are there examples that you would like to provide of how the BoR has supported, contributed to, or ensured that the institution operates with integrity in its financial, academic, personnel, auxiliary functions?
4. What challenges and successes has the BoR experienced in following policies for fair and ethical behavior or in ensuring that the institution operates with integrity?
5. How does the BoR ensure the autonomy necessary for making decisions in the best interest of the institution and for assuring integrity?
6. How, in its deliberations, does the BoR communicate with and/or consider the reasonable and relevant interests of the institution's internal and external constituencies — including the community, state government office holders, UNM faculty and staff governance and UNM administrative leaders?
7. What measures does the BoR take to preserve its independence from undue influence?
8. How does the Board of Regents understand its role with respect to the day-to-day management of the institution? What have been the BoR's significant challenges and successes in delegating the day-to-day management of the institution to the administration?
9. What have been the BoR's significant challenges and successes in delegating oversight on academic matters to the faculty?
10. Is there anything else that the BoR would like the Higher Learning Commission to be informed of with respect to its role and performance of its role?

We would like to express our gratitude in advance for your contribution to the body of evidence for UNM's 2019 Higher Learning Commission assurance argument.

FW: Faculty Senate Self-Study on Shared Governance for HLC evidence

Associate Provost for Curriculum and Assessment

Tue 1/23/2018 9:35 AM

To: Joseph Suilmann <suilmann@unm.edu>;

Faculty Senate request.

From: Associate Provost for Curriculum and Assessment <apcurriculum@unm.edu>

Date: Monday, January 22, 2018 at 10:02 PM

To: Pamela Viktoria Pyle <pvpyle@unm.edu>

Cc: Senior Vice Provost <svp@unm.edu>

Subject: Faculty Senate Self-Study on Shared Governance for HLC evidence

Dear Faculty Senate President Pyle,

Members of the Faculty Senate may have noted the request made at ASAR on January 11, 2018 to the Board of Regents for a short self-study to include in the evidence room for Higher Learning Commission review during UNM's reaccreditation. I am writing to follow up on an intention expressed at ASAR. On behalf of UNM's HLC reaccreditation Steering Committee, I would like to invite the Faculty Senate to contribute a short self-study on the committee's achievements and goals with respect to shared governance as well as on the status of shared governance at UNM. I am also making this invitation to the Committee on Governance and a similar invitation to Staff Council via its leadership. While the Steering Committee is developing an overall assurance argument, including the assurance argument for Criterion 2, the Faculty Senate's account of its role in shared governance and of the status of shared governance at UNM will enhance the information available to the HLC review committee. I very much hope that you will accept the HLC Steering Committee's invitation to make this contribution.

As you determine the shape of your self-study, it may be useful to consider the invitation presented to the BoR, below, which cites the HLC Criterion 2 Core Components:

We respectfully request consideration of 2.A and 2.C 1-4 in a short self-study (5-8 pages) to be prepared by the Board of Regents in Spring 2018 for inclusion in UNM's evidence room of materials for the Higher Learning Commission reaccreditation. UNM's assurance argument will link this self-study as a piece of evidence. Our HLC officer, Mary Vanis believed that this would be a useful supporting document.

Higher Learning Commission Criterion 2

Core Components

<https://www.hlcommission.org/Policies/criteria-and-core-components.html>

2.A. The institution operates with integrity in its financial, academic, personnel, and auxiliary functions; it establishes and follows policies and processes for fair and ethical behavior on the part of its governing board [...].

2.C. The governing board of the institution is sufficiently autonomous to make decisions in the best interest of the institution and to assure its integrity.

1. The governing board's deliberations reflect priorities to preserve and enhance the institution.
2. The governing board reviews and considers the reasonable and relevant interests of the institution's internal and external constituencies during its decision-making deliberations.
3. The governing board preserves its independence from undue influence on the part of donors, elected officials, ownership interests, or other external parties when such influence would not be in the best interest of the institution.
4. The governing board delegates day-to-day management of the institution to the administration and expects the faculty to oversee academic matters.

6/13/2018

FW: Faculty Senate Self-Study on Shared Governance for HL... - Joseph Suilmann

Thank you very much for considering this invitation. I hope that the Faculty Senate will be able to generate a self-study and would be delighted to discuss Criterion 2 and this invitation if that would be useful. Please do let me know if the Faculty Senate chooses to take this on. We would be interested in receiving the short self-study in March, if at all possible.

Best wishes,

Pamela

Dr. Pamela Cheek
Interim Associate Provost for Curriculum and Assessment
Office of the Provost

FW: Staff Council self-study on shared Governance for HLC Evidence

Associate Provost for Curriculum and Assessment

Tue 1/23/2018 9:35 AM

To: Joseph Suilmann <suilmann@unm.edu>;

Staff Council request.

From: Associate Provost for Curriculum and Assessment <apcurriculum@unm.edu>

Date: Monday, January 22, 2018 at 10:08 PM

To: SC President <scpresident@unm.edu>

Cc: Senior Vice Provost <svp@unm.edu>

Subject: Staff Council self-study on shared Governance for HLC Evidence

Dear Ms. Callan,

Members of Staff Council may have noted the request made at ASAR on January 11, 2018 to the Board of Regents for a short self-study to include in the evidence room for Higher Learning Commission review during UNM's reaccreditation. On behalf of UNM's HLC reaccreditation Steering Committee, I would like to invite Staff Council to contribute its own short self-study on the Council's achievements and goals with respect to shared governance as well as on the status of shared governance at UNM. I am also making this invitation to the Committee on Governance and a similar invitation to the Faculty Senate via its leadership. While the Steering Committee is developing an overall assurance argument, including the assurance argument for Criterion 2, the Faculty Senate's account of its role in shared governance and of the status of shared governance at UNM will enhance the information available to the HLC review committee. I very much hope that you will accept the HLC Steering Committee's invitation to make this contribution.

As you determine the shape of your self-study, it may be useful to consider the invitation presented to the BoR, below, which cites the HLC Criterion 2 Core Components:

We respectfully request consideration of 2.A and 2.C 1-4 in a short self-study (5-8 pages) to be prepared by the Board of Regents in Spring 2018 for inclusion in UNM's evidence room of materials for the Higher Learning Commission reaccreditation. UNM's assurance argument will link this self-study as a piece of evidence. Our HLC officer, Mary Vanis believed that this would be a useful supporting document.

Higher Learning Commission Criterion 2
Core Components

<https://www.hlcommission.org/Policies/criteria-and-core-components.html>

2.A. The institution operates with integrity in its financial, academic, personnel, and auxiliary functions; it establishes and follows policies and processes for fair and ethical behavior on the part of its governing board [...].

2.C. The governing board of the institution is sufficiently autonomous to make decisions in the best interest of the institution and to assure its integrity.

1. The governing board's deliberations reflect priorities to preserve and enhance the institution.
2. The governing board reviews and considers the reasonable and relevant interests of the institution's internal and external constituencies during its decision-making deliberations.
3. The governing board preserves its independence from undue influence on the part of donors, elected officials, ownership interests, or other external parties when such influence would not be in the best interest of the institution.
4. The governing board delegates day-to-day management of the institution to the administration and expects the faculty to oversee academic matters.

6/13/2018

FW: Staff Council self-study on shared Governance for HLC... - Joseph Suilmann

Thank you very much for considering this invitation. I hope that the Staff Council will be able to generate a self-study and would be delighted to discuss Criterion 2 and this invitation if that would be useful. Please do let me know if the Staff Council chooses to take this on. We would be interested in receiving the short self-study in March, if at all possible.

Best wishes,

Pamela

Dr. Pamela Cheek
Interim Associate Provost for Curriculum and Assessment
Office of the Provost